

Sets of lengths of integer-valued polynomials

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FWF

Der Wissenschaftsfonds.



Introduction

- A ring R is a non-empty set together with two operations, usually $+$ and \times , satisfying certain properties, e.g., \mathbb{Z} , \mathbb{Q} , \mathbb{R} , \mathbb{C} , $R[x] = \{f = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 \mid a_i \in R\}$.
- Every non-zero integer except 1, and -1 can be expressed uniquely as a product of prime numbers. We say that \mathbb{Z} has uniqueness of factorization of elements.
- Not all rings have uniqueness of factorization of elements. For instance, in

$$\mathbb{Z}[\sqrt{-5}] = \{m + n\sqrt{-5} \mid m, n \in \mathbb{Z}\},$$

$$6 = 2 \times 3 = (1 + \sqrt{-5})(1 - \sqrt{-5}).$$

Fermat's Last Theorem

For $n \geq 3$, $x^n + y^n = z^n$, has no non-trivial solutions $x, y, z \in \mathbb{Z}$.

Introduction

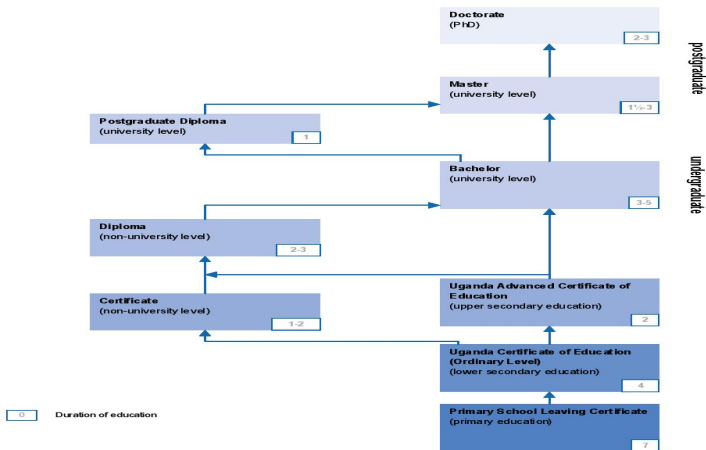
- Factorization theory involves investigating phenomena related to non-uniqueness of factorizations in algebraic structures.
- To characterize arithmetical and algebraic properties of algebraic structures in terms of factorization properties.
- Sets of lengths are the most studied objects in factorization theory.

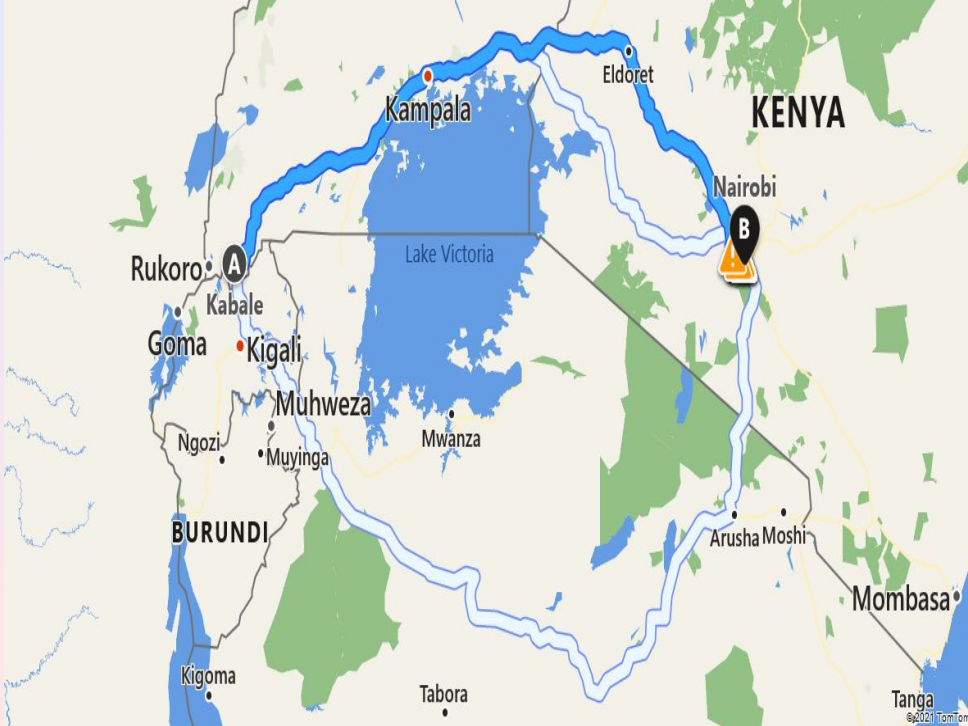
Introduction

Remark 1

In real life, non unique factorizations tell us that there can be other ways of doing something or achieving a goal. For instance, the different academic paths.

Flow chart: education system Uganda





KENYA

Kampala

Eldoret

Nairobi

Rukoro

A

Kabale

Goma

Kigali

Muhweza

Ngozi

Musinga

Mwanza

Lake Victoria

Arusha Moshi

Mombasa

BURUNDI

Kigoma

Tabora

Tanga

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Outline

- Preliminaries on integer-valued polynomials and factorizations
- Sets of lengths in $\text{Int}(D)$

Integer-valued polynomials

Definition 1

The ring of integer-valued polynomials is the ring

$$\text{Int}(\mathbb{Z}) = \{f \in \mathbb{Q}[x] \mid \forall a \in \mathbb{Z}, f(a) \in \mathbb{Z}\} \subseteq \mathbb{Q}[x].$$

For example, $2x + 3$ is in $\text{Int}(\mathbb{Z}) \rightsquigarrow \mathbb{Z}[x] \subseteq \text{Int}(\mathbb{Z})$. Also

$$f = \frac{1}{2}x^2 + \frac{1}{2}x = \frac{x(x+1)}{2} \in \text{Int}(\mathbb{Z}).$$

Remark 2 (Cahen & Chabert, 2016)

A polynomial $f \in \mathbb{Q}[x]$ is in $\text{Int}(\mathbb{Z})$ if

$$f(a) \in \mathbb{Z} \text{ for all } 0 \leq a \leq \deg(f),$$

e.g., $f = \frac{x^2+x+3}{3} \notin \text{Int}(\mathbb{Z})$ since $f(1) = \frac{5}{3} \notin \mathbb{Z}$.

More examples

- 1 $f = \frac{x^2+x+2}{2} \in \text{Int}(\mathbb{Z})$ since $f(0) = 1$, $f(1) = 2$, and $f(2) = 4$.
- 2 A product of n consecutive integers is divisible by $n!$, e.g.,

$$\frac{x(x+1)(x+2)}{6} \in \text{Int}(\mathbb{Z}).$$

- Each binomial polynomial

$$\binom{x}{n} = \frac{x(x-1)(x-2)\cdots(x-n+1)}{n!} \in \text{Int}(\mathbb{Z}).$$

- 3 For each prime number p , the Fermat's polynomial

$$\frac{x^p - x}{p} \in \text{Int}(\mathbb{Z}) \iff a^p \equiv a \pmod{p} \quad \forall a \in \mathbb{Z},$$

e.g., $\frac{x^7-x}{7} \in \text{Int}(\mathbb{Z})$.

Integer-valued polynomials on arbitrary domains

Definition 2

Let D be a domain with quotient field K . The ring of integer-valued polynomials on D is

$$\text{Int}(D) = \{f \in K[x] \mid \forall a \in D, f(a) \in D\} \subseteq K[x]$$

Remark 3

- 1 For all $f \in K[x]$, $f = \frac{g}{b}$ where $g \in D[x]$ and $b \in D \setminus \{0\}$.
- 2 $f = \frac{g}{b}$ is in $\text{Int}(D)$ if and only if $b \mid g(a)$ for all $a \in D$.

For example, $D[x] \subseteq \text{Int}(D)$.

Int(D) cont'd

- Int(\mathbb{Z}) is non-Noetherian.
- Int(D) in general is not a unique factorization domain e.g., in Int(\mathbb{Z}),

$$\begin{aligned}x^2 + x &= x \cdot (x + 1) \\ &= 2 \cdot \frac{x(x + 1)}{2}\end{aligned}$$

$$\begin{aligned}\frac{(x - 1)(x - 2)(x - 3)}{2} &= (x - 1) \cdot \frac{(x - 2)(x - 3)}{2} \\ &= (x - 3) \cdot \frac{(x - 1)(x - 2)}{2}\end{aligned}$$

Factorization terms

Let R be a commutative ring with identity.

- 1 A non-zero element $u \in R$ is called a **unit** if there exists $b \in R$ such that $ub = 1$, e.g., the units of \mathbb{Z} are $\{1, -1\}$.
- 2 A non-zero non-unit $r \in R$ is said to be **irreducible** in R if whenever $r = ab$, then either a or b is a unit, e.g., prime numbers are irreducible in \mathbb{Z} .
- 3 A **factorization** of r in R is an expression

$$r = a_1 \cdots a_n$$

where $n \geq 1$ and a_i is irreducible in R for $1 \leq i \leq n$.

Factorization terms cont'd

- 1 The **length** of the factorization $r = a_1 \cdots a_n$ is the number of irreducible factors n .
- 2 We say that $r, s \in R$ are **associated** in R if there exists a unit $u \in R$ such that $r = us$. We denote this by $\mathbf{r} \sim \mathbf{s}$, e.g., $3 \sim -3$ in \mathbb{Z} .
- 3 Two factorizations of the same element,

$$r = a_1 \cdots a_n = b_1 \cdots b_m, \quad (1)$$

are called **essentially the same** if $n = m$ and, after a suitable re-indexing, $a_j \sim b_j$ for $1 \leq j \leq m$. Otherwise, the factorizations in (1) are called **essentially different**.

Factorization terms cont'd

In $\mathbb{Z}[\sqrt{-5}] = \{m + n\sqrt{-5} \mid m, n \in \mathbb{Z}\}$,

- $6 = 2 \times 3 = -2 \times -3$ are essentially the same.
- $6 = 2 \times 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$ are essentially different.

In $\mathbb{Z}[\sqrt{-14}] = \{m + n\sqrt{-14} \mid m, n \in \mathbb{Z}\}$,

- $81 = 3 \times 3 \times 3 \times 3 = -3 \times 3 \times -3 \times 3$ are essentially the same.
- $81 = 3 \times 3 \times 3 \times 3 = (5 + 2\sqrt{-14})(5 - 2\sqrt{-14})$ are essentially different.

Factorization terms cont'd

- ① The **set of lengths** of r is

$$L(r) = \{n \in \mathbb{N} \mid r = r_1 \cdots r_n\}$$

where r_1, \dots, r_n are irreducibles. e.g.,

In $\mathbb{Z}[\sqrt{-14}]$, $81 = 3 \times 3 \times 3 \times 3 = (5 + 2\sqrt{-14})(5 - 2\sqrt{-14})$ are essentially different. $L(81) = \{2, 4\}$.

In $\text{Int}(\mathbb{Z})$,

$$\begin{aligned} f &= \frac{(x-1)(x-2)(x-3)}{2} = (x-1) \cdot \frac{(x-2)(x-3)}{2} \\ &= (x-3) \cdot \frac{(x-1)(x-2)}{2} \end{aligned}$$

$$L(f) = \{2, 2\} = \{2\}.$$

Sets of lengths in $\text{Int}(D)$

Theorem 1 (Frisch, 2013)

Let $1 < m_1 \leq m_2 \leq \dots \leq m_n \in \mathbb{N}$. Then there exists a polynomial $H \in \text{Int}(\mathbb{Z})$ with exactly n essentially different factorizations of lengths m_1, \dots, m_n .

Say $\{2, 4, 5, 5\}$. Then there exists $H \in \text{Int}(\mathbb{Z})$ such that

$$\begin{aligned} H &= h_1 \cdot h_2 \\ &= f_1 \cdot f_2 \cdot f_3 \cdot f_4 \\ &= e_1 \cdot e_2 \cdot e_3 \cdot e_4 \cdot e_5 \\ &= g_1 \cdot g_2 \cdot g_3 \cdot g_4 \cdot g_5 \end{aligned}$$



Corollary 1

Every finite subset of $\mathbb{N}_{>1}$ is a set of lengths of an element of $\text{Int}(\mathbb{Z})$.

Sets of lengths in $\text{Int}(D)$

Question: Are there other domains D such that $\text{Int}(D)$ has full system of sets of lengths? **YES**

If D is a Dedekind domain such that;

- 1 D has infinitely many maximal ideals and
- 2 $|D/M| < \infty$ for each maximal ideal M .

Then $\text{Int}(D)$ has full system of sets of lengths.

Theorem 2 (Frisch, SN, Rissner, 2019)

Let $1 < m_1 \leq m_2 \leq \dots \leq m_n \in \mathbb{N}$. Then there exists a polynomial $H \in \text{Int}(D)$ with exactly n essentially different factorizations of lengths m_1, \dots, m_n .

Examples of our Dedekind domains

- 1 \mathbb{Z} .
- 2 Rings of integers of number fields, e.g., $\mathbb{Z}[\sqrt{-5}]$.

Transfer mechanisms

Several monoids with full system of sets of lengths have been obtained using transfer mechanisms. (Kainrath, 1999)

Definition 3

Monoids which allow transfer homomorphisms to block monoids are called **transfer Krull monoids**.

- $(\text{Int}(\mathbb{Z}) \setminus \{0\}, \bullet)$ is not a transfer Krull monoid. (Frisch, 2013)
- $(\text{Int}(D) \setminus \{0\}, \bullet)$ is not a transfer Krull monoid, where D is Dedekind domain with infinitely many maximal ideals of finite index. (Frisch, SN, Rissner, 2019) ■

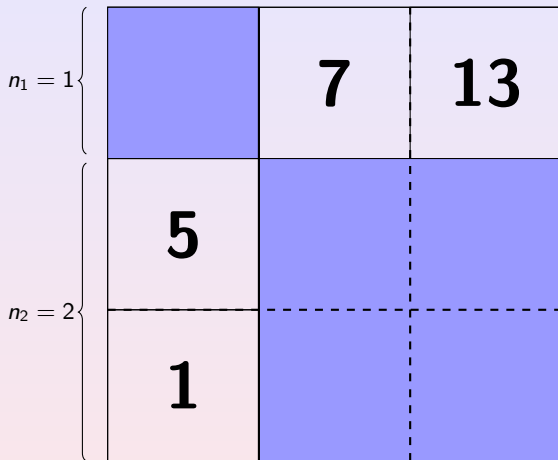
Illustrations of tools

For $H \in \text{Int}(\mathbb{Z})$ with $L(H) = \{2, 3\}$, we start with $\{n_1, n_2\} = \{1, 2\}$.

- 1 $N = (\sum_{i=1}^n n_i)^2 - \sum_{i=1}^n n_i^2, \quad N = 4.$
- 2 Pick a prime number $p > N$. Say $p = 5$.
- 3 Construct a complete system of residues mod p that doesn't contain a complete system of residues mod any prime less than p , that is, from $\{0 + 5\mathbb{Z}, 1 + 5\mathbb{Z}, 2 + 5\mathbb{Z}, 3 + 5\mathbb{Z}, 4 + 5\mathbb{Z}\}$, say $\mathcal{C} = \{5, 1, 7, 13, 19\}$.
- 4 Let $\mathcal{C} = S \uplus T$ such that $|T| = N$, Say $T = \{5, 1, 7, 13\}$, and set

$$s(x) = \prod_{r \in S} x - r = x - 19.$$

- ① Arrange the elements of $T = \{5, 1, 7, 13\}$ in an $m = \sum_{i=1}^n n_i$ by m square matrix with diagonal blocks empty.



- $f_1^{(1)} = (x - 7)(x - 13)(x - 5)(x - 1)$
- $f_1^{(2)} = (x - 5)(x - 7), \quad f_2^{(2)} = (x - 1)(x - 13)$

- Set

$$h(x) = \frac{s(x) \cdot f_1^{(1)} \cdot f_1^{(2)} \cdot f_2^{(2)}}{p}$$

- Replace each f_i with a corresponding monic irreducible polynomial F_i .

- $f_1^{(1)} = (x - 7)(x - 13)(x - 5)(x - 1)$

$$F_1^{(1)} = x^4 + 24x^3 + 16x^2 + 4x + 30$$

- $f_1^{(2)} = (x - 5)(x - 7) \rightsquigarrow F_1^{(2)} = x^2 + 38x + 10$

- $f_2^{(2)} = (x - 1)(x - 13) \rightsquigarrow F_2^{(2)} = x^2 + 36x + 38$

Set

$$H(x) = \frac{s(x) \cdot F_1^{(1)} \cdot F_1^{(2)} \cdot F_2^{(2)}}{p}.$$

Then $H \in \text{Int}(\mathbb{Z})$ and factors as

$$\begin{aligned} H(x) &= \frac{s(x) \cdot F_1^{(2)} \cdot F_2^{(2)}}{p} \cdot F_1^{(1)} \\ &= \frac{s(x) \cdot F_1^{(1)}}{p} \cdot F_1^{(2)} \cdot F_2^{(2)} \end{aligned}$$

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